

PATENT

Attorney Docket No. 22700-704

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application	)	<u>PATENT APPLICATION</u>
Inventor(s): Santasiero et al.	)	
Application No.: Not Yet Assigned	)	Art Unit: Not Yet Assigned
Filed: Herewith	)	Examiner: Not Yet Assigned
Title METHOD FOR SCREENING	)	
MICROCRYSTALLIZATIONS FOR	)	
<u>CRYSTAL FORMATION</u>	)	



**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, can be found in prior U.S. Patent Application No.: 09/851,397: May 7, 2001, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

*1.98(d) A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120.*

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)

-- OR --

☐ (2) It is being filed within 3 months of entry of a national stage

-- OR --

☐ (3) It is being filed before the mail date of the first Office Action on the merits

-- OR --

☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; **or**

☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**

C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 22700-730).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: Dec 21, 2001

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<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>			ATTY. DOCKET NO. 22700-730		SERIAL NO. Not Yet Assigned	
			APPLICANT Santasiero et al.			
			FILING DATE 12/21/01		GROUP Unassigned	

Jc826 U.S. PTO  
**10/026362**  
 12/21/01

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,833,233	5-23-89	Carter	530	363	8-20-87
	6,057,159	5-2-00	Lepre	436	86	12-12-97
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	4,668,584	5-26-87	Uzgiris et al.	428	408	12-23-85
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	4,886,646	12-12-89	Carter et al.	422	245	3-23-88
	4,919,899	4-24-90	Herrmann et al.	422	245	2-29-88
	5,078,975	1-7-92	Rhodes et al.	422	253	12-18-90
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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/60345	12/10/00	PCT	G01N	31/00	<input type="checkbox"/>	<input type="checkbox"/>
	0 553 539 A1	04/08/93	Europe	C30B	7/00	<input type="checkbox"/>	<input type="checkbox"/>

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